

# Farmers Asset Management, LLC.

## PRIVACY NOTICE



### What does Farmers Asset Management, LLC ("FAM") do with your personal information?

#### FACTS

##### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

##### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and date of birth
- Assets and account balances
- Income and net worth information

##### How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons FAM chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does FAM share?	Can you limit this sharing?
<b>For our everyday business purposes —</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes —</b> to offer our products and services to you	Yes	No
<b>For joint marketing with other financial companies</b>	No	We don't share
<b>For our affiliates' everyday business purposes —</b> information about your transactions and experiences	Yes	No
<b>For our affiliates' everyday business purposes —</b> information about your creditworthiness	No	We don't share
<b>For our affiliates to market to you</b>	Yes	Yes
<b>For nonaffiliates to market to you</b>	No	We don't share

#### To limit our sharing

- Call (866) 888-9739 Option 5 our menu will prompt you through your choice(s)
- Mail the form below to us at: Farmers Asset Management, LLC, Attn: Compliance  
PO Box 248989, Oklahoma City, OK 73124-8989

##### Please note:

If you are a new customer, we can begin sharing your information 7 days from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.

#### Questions?

Call (866) 888-9739 Option 5 or email [usw\\_ffs\\_compliance@farmersinsurance.com](mailto:usw_ffs_compliance@farmersinsurance.com)

## WHO WE ARE

**Who is providing this notice?** Farmers Asset Management, LLC

## WHAT WE DO

<b>How does FAM protect my personal information?</b>	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. We also maintain other physical, electronic and procedural safeguards to protect this information.
<b>How does FAM collect my personal information?</b>	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"><li>• Open and account and provide contact information</li><li>• Seek financial planning advice</li><li>• Make deposits or withdrawals from your account or answer questions related to your investment management services</li></ul> <p>We will not collect your personal information from other companies unless prior authorization is obtained.</p>
<b>Why can't I limit all sharing?</b>	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"><li>• sharing for affiliates' everyday business purposes—information about your creditworthiness</li><li>• affiliates from using your information to market to you</li><li>• sharing for nonaffiliates to market to you</li></ul> <p>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</p>
<b>What happens when I limit sharing for an account I hold jointly with someone else?</b>	

## DEFINITIONS

<b>Affiliates</b>	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"><li>• Our affiliates include FFS Holding, LLC, Farmers Financial Solutions, LLC, and Farmers Insurance Exchange</li></ul>
<b>Nonaffiliates</b>	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"><li>• We do not share with non-affiliates so they can market to you.</li></ul>
<b>Joint marketing</b>	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"><li>• We do not jointly market.</li></ul>

## OTHER IMPORTANT INFORMATION

### Information for California and Nevada Customers

In response to applicable state law, if the mailing address provided for your account is in California, North Dakota, or Vermont, we will automatically treat your account as if you do not want us to disclose your personal information to non-affiliated third parties for purposes of them marketing to you, except as permitted by the applicable state law.

To learn about additional privacy right protections by Farmers Asset Management, please contact us.



## MAIL-IN FORM

### Leave Blank OR

If you have a joint account, your choice(s) will apply to everyone on your account unless you mark below.

- Apply my choices only to me]

Mark any/all you want to limit:

- Do not share information about my creditworthiness with your affiliates for their everyday business purposes.
- Do not allow your affiliates to use my personal information to market to me.
- Do not share my personal information with nonaffiliates to market their products and services to me.

Name

Address

Account #

Mail to: Farmers Asset Management, LLC ATTN: Compliance PO Box 248989, Oklahoma City, OK 73124-8989

[farmers.com/wealth](https://farmers.com/wealth)